



07-21-04

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/785,393	02/23/2004	Stephan H. Wolfe	212/561

CONFIRMATION NO. 6517

23371  
 CROCKETT & CROCKETT  
 24012 CALLE DE LA PLATA  
 SUITE 400  
 LAGUNA HILLS, CA 92653

## FORMALITIES LETTER



\*OC000000012689858\*

Date Mailed: 05/17/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 385 to complete the basic filing fee for a small entity.*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$255** as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$705** for a Small Entity

- **\$385** Statutory basic filing fee.
- **\$65** Late oath or declaration Surcharge.

07/22/2004 DEMMANU1 00000010 10785393

01 FC:2001  
 02 FC:2051

385.00 OP  
 65.00 OP

- Total additional claim fee(s) for this application is **\$255**
  - **\$129** for **3** independent claims over 3.
  - **\$126** for **14** total claims over 20.

Replies should be mailed to:   Mail Stop Missing Parts  
  Commissioner for Patents  
  P.O. Box 1450  
  Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Docket No. 212/561

## Transmittal of Missing Parts

Commissioner for Patents  
P.O. Box 1450, Alexandria, VA 22313

Sir: Enclosed for filing please find the missing parts for the following patent application:

Inventors: Stephen H. Wolf

Title: Endpoint Detection System For Wafer Polishing

Enclosed please also find the following papers:

Serial Number: 10/785,393

- ☐ 14 pages Specification; 12 pages claims; 1 pages abstract Filing Date February 23, 2004
- ☐ 5 sheets drawings (☐ informal ☒ formal)
- ☐ Declaration and Power of Attorney (☐ combined ☐ separate)
- ☒ The Invention is assigned to: Strasbaugh
- ☒ Claiming Small Entity Status
- ☒ Form PTO-1533
- ☐ Petition for Grant of Filing Date and Declaration in Support of Petition for Grant of Filing Date
- ☒ Preliminary Amendment
- ☐ Petition for Extension of Time
- ☒ Other: True copy of the Declaration from the prior complete application

The filing fee has been calculated as shown below:

Basic Filing Fee				\$770
Total Claims	9		18	\$0
Independent Claims	1		86	\$0
Multiple Dependent Claims	<input type="checkbox"/>		290	\$0
Surcharge 37 CFR 1.16(E)	<input checked="" type="checkbox"/>			\$130
Total Calculations				\$900
Reduction for Small Entity			<input checked="" type="checkbox"/> Applicant claims small entity status.	\$450
Assignment (\$40)	<input type="checkbox"/>			\$0
TOTAL FEES				\$450

- ☒ Check No. 3089 in the amount of \$450 to cover the above fees is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the fees associated with this communication, any deficiency in the fee paid, or credit any overpayment, to Deposit Account 03-3700.

Date: July 19, 2004

By:

  
Theodore D. Fay III, Esq., Reg. No. 48,504Crockett & Crockett  
24012 Calle De La Plata, Suite 400 Tel (949) 588-6171  
Laguna Hills, CA 92653 Fax (949) 588-6172

## Certificate of Mailing (37 CFR 1.10)

I hereby certify that this transmittal (along with any paper referred to as being attached or enclosed) is being deposited in Express Mail using Express Mail Post Office to Addressee with the United States Postal Service on the date shown below in an envelop addressed to the Commissioner of Patents and Trademarks, P.O. Box 1450, Alexandria, VA 22313, Mail Stop Missing Parts.

Express Mail Label EV532985115US

Date: July 19, 2004

  
Kristen Truong